



Stockholm  
University

# Classification in NLP

Thomas Vakili

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# Agenda

1. Introducing classification
2. Implementing a Naïve Bayes classifier
3. Evaluating classifiers
4. LLMs for classification

# Text classification



# What is text classification?

- Text classification is used to assign a *class* to a *document*
- Typically implemented using *supervised machine learning*

To create a classifier, we want to find a *function*

$$f(x) : X \rightarrow Y$$

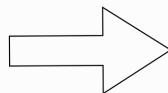
where  $X$  is the domain of *inputs* and  $Y$  the classes that can be assigned to them.



## Text classification

# Example: ICD-10 coding

Patient from Åre was treated with antacids by  
Dr. Lundvall at Södersjukhuset for an ulcer  
after presenting with stomach pain on Jan 12th



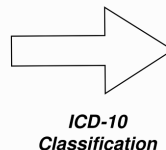
*ICD-10  
Classification*

**K20 - K31**

*Diseases of  
esophagus, stomach  
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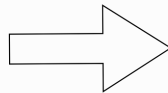
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- $x \in X$  is any **electronic health record**

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ICD-10  
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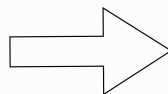
- $x \in X$  is any **electronic health record**
- $Y$  is the set of ICD-10 code blocks (multiclass classification)



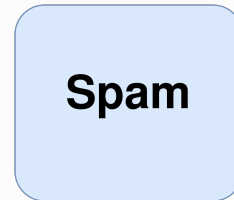
## Text classification

# Example: spam classification

Esteemed Dr Prof Vakili,  
Greetings!! From the Journal of Dentistry.  
We are impressed by your recent publication  
and kindly request that you submit a paper.



*Spam  
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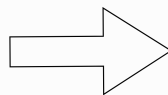




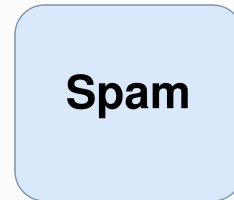
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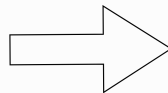
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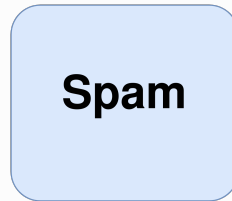
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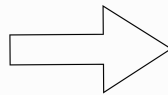
- $x \in X$  is any **email**
- $Y$  is either **spam** or **not spam** (binary classification)



## Text classification

### Example: (binary) sentiment classification

The room was noisy, the food was bland, and the service was abysmal. They did not even serve Gränges?! Will not come back.



*Sentiment  
Classification*

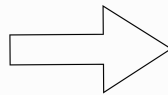
**Negative**



## Text classification

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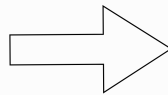
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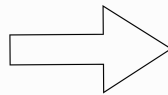
- $x \in X$  is any **review**
- $Y$  is either **positive** or **negative** (binary classification)



## Text classification

### Example: (binary) sentiment classification

The room was noisy, the food was bland, and the service was abysmal. They did not even serve Gränges?! Will not come back.



Sentiment  
Classification

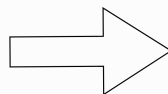
**Negative**

In NLP,  $X$  is the *infinite* set of all possible sentences.  $Y$  is *finite* and will depend on the task and domain.

$$|Y| = |\{\text{positive, negative}\}| = 2$$

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Sentiment  
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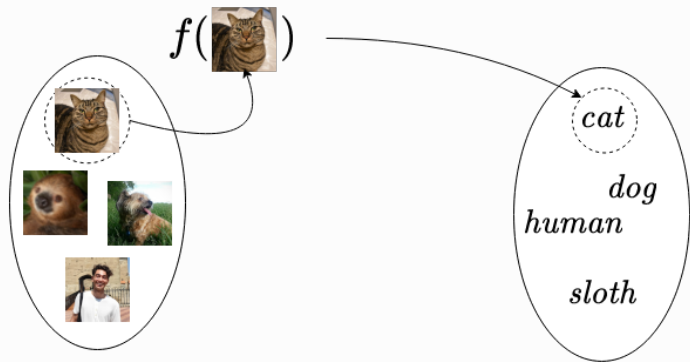
$$|X| = |\{\text{"This bar is great", "I'm missing Gränges", ...}\}| = \infty$$

# Building classifiers

# Classification revisited

## Remember

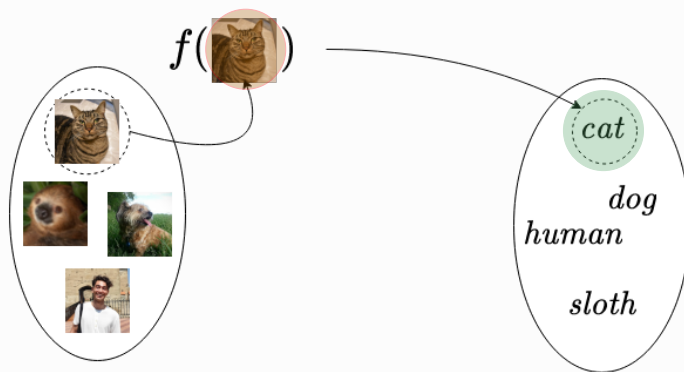
Sentiment analysis is a document classification task! We want  $f : X \rightarrow Y$  that maps from **inputs**  $x \in X$  to **labels**  $y \in Y$



# Classification revisited

## Remember

Sentiment analysis is a document classification task! We want  $f : X \rightarrow Y$  that maps from **inputs**  $x \in X$  to **labels**  $y \in Y$

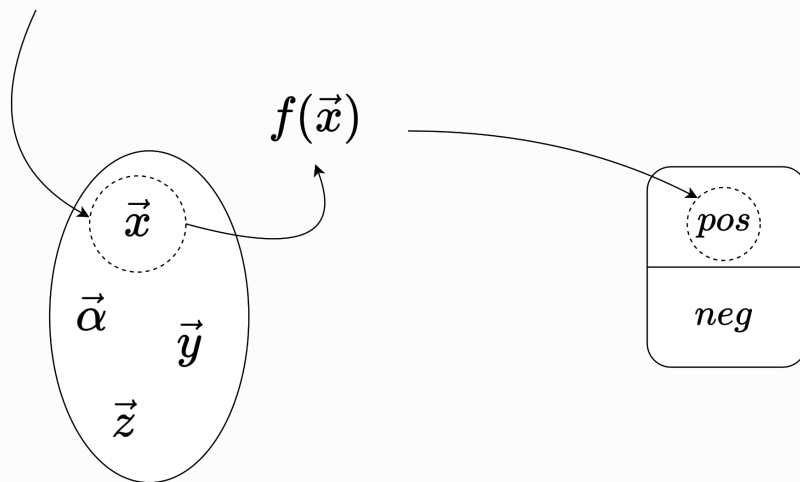


$$f : \text{images} \rightarrow \text{animal types}$$



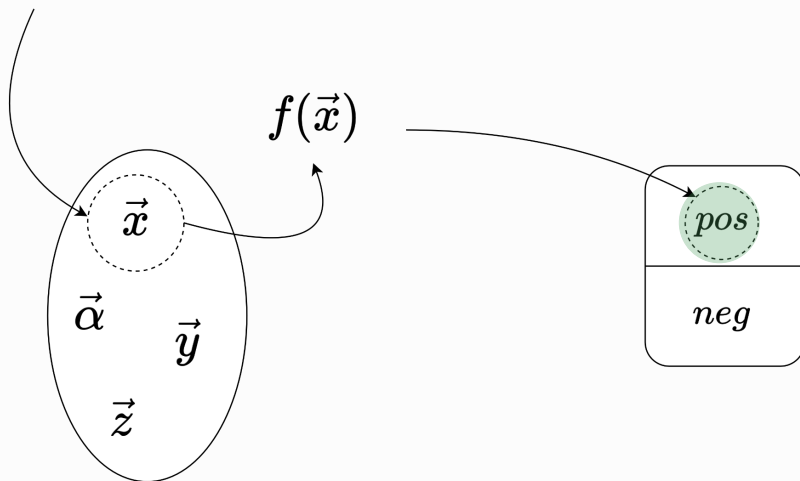
# Text classification

"This bar is great"



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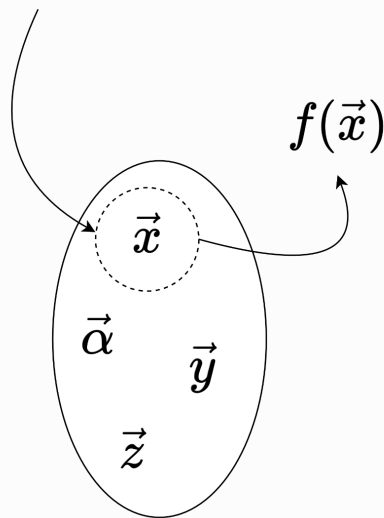
$$f : \text{reviews} \rightarrow \text{sentiments}$$



## Building classifiers

# Vectorization

"This bar is great"



We need a good way to transform our input text to a **vector**



## Vectorizing *sequences*

### Word2Vec

We can compute the *average* of a sentence's word vectors (lecture 4)

### Transformer models

Modern LLMs can build contextual representations (also lecture 4)

### Count vectorization

Sometimes, we can keep it simple!

## Bag-of-words (BoW) models

- Bag-of-words (BoW) models are simple but often effective classifiers
- Consider all words in a document, ignoring order (BoW assumption)
- Pre-processing can be applied (stemming, stop word removal, etc)
- Words can be represented by count, weights, as binary features, etc



# Assigning indices

Poor selection of beer (no Gränges)

Nice list of sour beers, try the kiwi milkshake one

Heineken tasted metallic and cost 89 kr

All beers were reasonably priced at ~150 kr

...

poor

1

reasonable

0

price

0

Gränges

1

nice

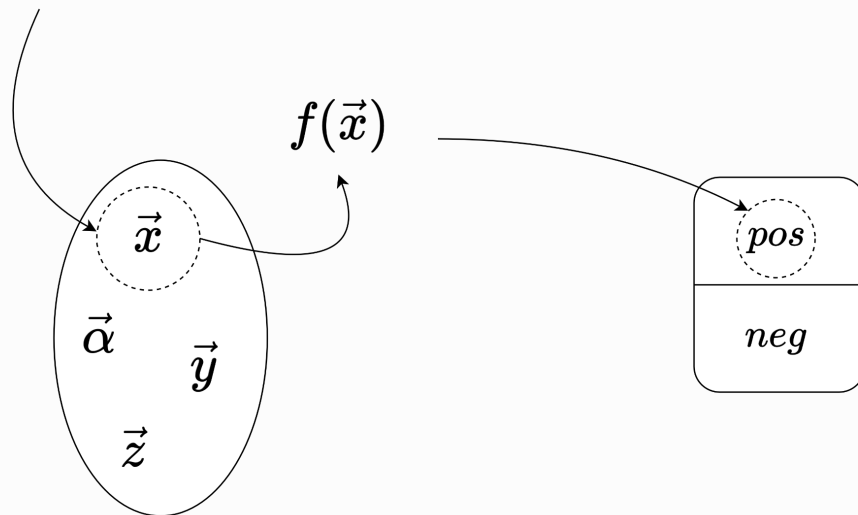
0

0

...

# So how do we classify?

"This bar is great"





## Text classification with Naïve Bayes

Naïve Bayes is a probabilistic classifier:  $\hat{y} = \arg \max_{y \in Y} P(y | x)$

Select the *class*  $y \in Y$  with the highest *posterior probability* given a document  $x$



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$$P(y | x) = \frac{P(x | y) \cdot P(y)}{P(d)}$$



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$$P(y | x) = \frac{P(x | y) \cdot P(y)}{P(d)}$$

$P(x | y)$  is the likelihood of a document given a class

$P(y)$  is the prior class probability

$P(x)$  is the probability of a document



## The easy part: $P(y)$

We don't even need to process the text to get  $P(y)$ :

$N_{doc}$  is the number of training documents

$N_y$  is the number of documents belonging to class  $y$  in the training data

That's all we need:

$$P_y = \frac{N_y}{N_{doc}}$$



## Estimating $P(x | y)$

How do we estimate  $P(x | y)$ ? We need to process the data

- Conditional probability of a single word:  $P(w_i | y) = \frac{\text{count}(w_i, c)}{\sum_{w \in V} \text{count}(w, c)}$
- We can merge all documents by class and count word frequencies



## What about full documents?

### BoW simplification

We ignore word order:

$$P(\text{this book was really amazing}) = P(\text{really this book amazing was}) = \dots$$

### Naïve simplification

Assume all words are independent, i.e.

$$P(\text{this amazing book} \mid POS) = P(\text{this} \mid POS) \cdot P(\text{amazing} \mid POS) \cdot P(\text{book} \mid POS)$$



## Last piece of the puzzle: $P(x)$

What is the probability of the document?

$$P(x) = P(x \mid y) + P(x \mid \neg y)$$

- $P(x)$  does not depend on the class  $y$



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$$\hat{y} = \arg \max_{y \in Y} P(y \mid x)$$



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$$\hat{y} = \arg \max_{y \in Y} P(y \mid x) = \arg \max_{y \in Y} \frac{P(x \mid y) \cdot P(y)}{P(x)} = \arg \max_{y \in Y} P(x \mid y) \cdot P(y)$$



Remember how we calculate  $P(x | y)$

$$P(\text{this amazing book} | POS) = P(\text{this} | POS) \cdot P(\text{amazing} | POS) \cdot P(\text{book} | POS)$$

But what about  $P(\text{this unseen book} | POS)$ ?

$$\text{count}(\text{unseen} | POS) = 0 \implies P(\text{this unseen book} | POS) = 0$$

## Laplace smoothing

Simple solution: add 1 to all word counts



# Laplace smoothing

With Laplace smoothing, we get:

$$P(w_i | y) = \frac{\text{count}(w_i, c) + 1}{\sum_{w \in V} (\text{count}(w, c) + 1)} = \frac{\text{count}(w_i, c) + 1}{\sum_{w \in V} \text{count}(w, c) + |V|}$$

This way, no word will have zero probability!



## Final optimization

Perform calculations in log-space to make sure we don't run into underflow due to tiny numbers. Instead of:

$$P(w_1, w_2, \dots, w_m \mid y) = \prod_{i=1}^m P(w_i \mid y)$$

we can look at:

$$\log P(w_1, w_2, \dots, w_m \mid y) = \sum_{i=1}^m \log P(w_i \mid y)$$

(because we are looking for the *argmax*)

# Evaluating classifiers 101



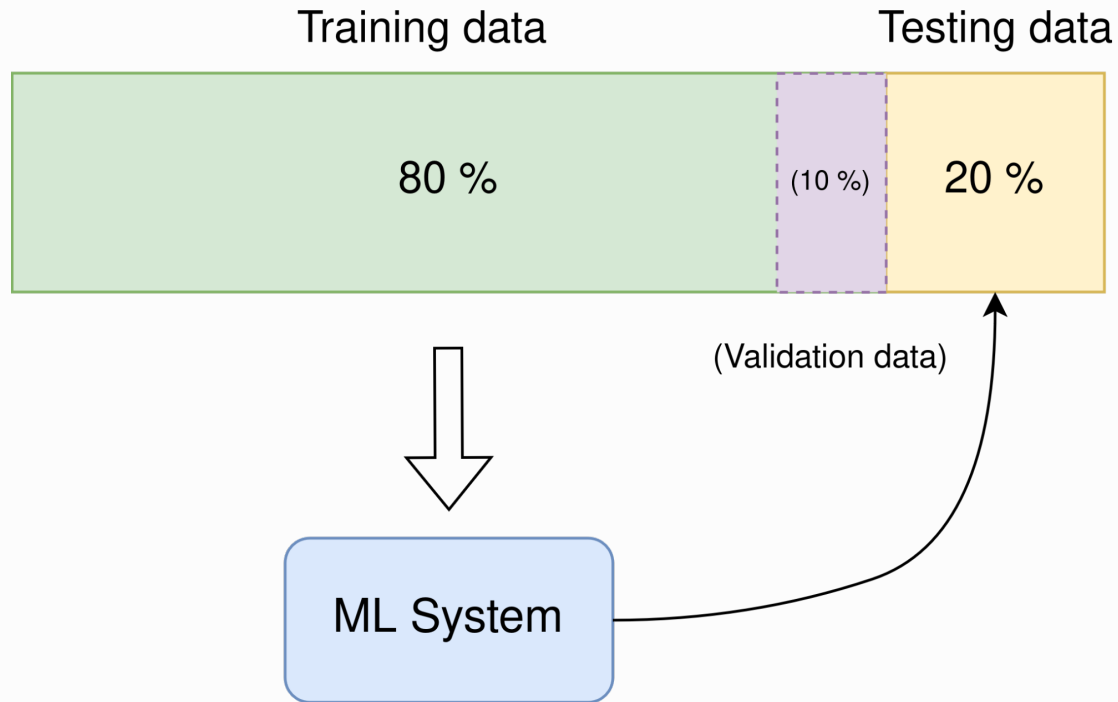
## Warning: repetition ahead

You've probably (?) taken an introductory machine-learning course.

If so, this will be a bit of (healthy?) repetition.



## Train-test(-val) split





# Different types of errors

|                     | Predicted <i>pos</i>   | Predicted <i>neg</i>   |
|---------------------|------------------------|------------------------|
| Actually <i>pos</i> | True positive<br>(TP)  | False negative<br>(FN) |
| Actually <i>neg</i> | False positive<br>(FP) | True negative<br>(TN)  |

# The four metrics

The four most important metrics in NLP:

- Accuracy =  $\frac{TP + FP}{TP + FP + TN + FN}$
- Precision =  $\frac{TP}{TP + FP}$
- Recall =  $\frac{TP}{TP + FN}$
- $F_{\beta} = (1 + \beta^2) \cdot \frac{\text{Precision} \cdot \text{Recall}}{\beta^2 \cdot \text{Precision} + \text{Recall}}$

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# The four metrics

The four most important metrics in NLP:

- $\text{Accuracy} = \frac{\text{TP} + \text{FP}}{\text{TP} + \text{FP} + \text{TN} + \text{FN}}$
- $\text{Precision} = \frac{\text{TP}}{\text{TP} + \text{FP}}$
- $\text{Recall} = \frac{\text{TP}}{\text{TP} + \text{FN}}$
- $F_1 = 2 \cdot \frac{\text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}}$

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## Tricked by accuracy?

|          | Predicted + | Predicted - |
|----------|-------------|-------------|
| Actual + | TP = 2      | FN = 8      |
| Actual - | FP = 18     | TN = 972    |

$$\text{Accuracy} = \frac{2 + 972}{1000} = 97.4\%$$



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$$\text{Accuracy} = \frac{2 + 972}{1000} = 97.4\%$$

$$\text{Precision} = \frac{2}{2 + 18} = 10\% \quad \text{Recall} = \frac{2}{2 + 8} = 20\%$$

## Tricked by accuracy?

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| Actual - | FP = 18     | TN = 972    |

$$\text{Accuracy} = \frac{2 + 972}{1000} = 97.4\%$$

$$F_1 = 2 \cdot \frac{\text{Precision} \cdot \text{Recall}}{\text{Precision} + \text{Recall}} = 2 \cdot \frac{0.1 \cdot 0.2}{0.1 + 0.2} = 0.1\overline{333}$$



# DEMO!

# LLMs for classification

# LLMs for classification

## What about LLMs?

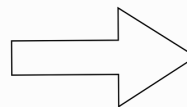
- In the last two lectures, we introduced LLMs such as BERT
- BERT is more powerful, but Naïve Bayes is much faster (and does quite well)

| Filter messages... <b>CTRL</b> + <b>SHIFT</b> + <b>K</b> |                                                                      |                           |                  |  |  |
|----------------------------------------------------------|----------------------------------------------------------------------|---------------------------|------------------|--|--|
|                                                          | Subject                                                              | Correspondents            | Date             |  |  |
| ☆                                                        | Shape the Future of Medical Decision-Making with JMID                | Journal of Medical Inf... | 2025-04-19 07:02 |  |  |
| ☆                                                        | Biomedical Imaging                                                   | Dhara Sachdev, Ph.D. ...  | 2025-04-18 14:01 |  |  |
| ☆                                                        | Re: Invited Talk Invitation at AICBES2025 in Dubai                   | AICBES2025 <aicbes2...    | 2025-04-18 09:14 |  |  |
| ☆                                                        | Vakili T Submission: Oral Health & Cosmesis (ISSN: 2473 - 6783)      | oralcare <oralcare@...    | 2025-04-18 07:20 |  |  |
| ☆                                                        | Call for Paper: [Linguistic Exploration] Invitation to Publish a ... | editor-8157 <editor-8...  | 2025-04-17 16:44 |  |  |
| ☆                                                        | Re: Global Vaccines 2025 – Registration and Participation Inq...     | Global Vaccines 2025 ...  | 2025-04-17 07:05 |  |  |
| ☆                                                        | Request to Join the Editorial Board (EB) members of SV (ISS...       | Catherine Chan <cath...   | 2025-04-17 05:00 |  |  |
| ☆                                                        | Humbly invites you to submit your valuable paper                     | catherine <catherine...   | 2025-04-15 16:11 |  |  |
| ☆                                                        | Invitation of Article/Abstract Submission and Editorial Board...     | Thompson Noah <inf...     | 2025-04-14 20:05 |  |  |
| ☆                                                        | Reminder regarding your manuscript submission                        | lora <lora@caserepo...    | 2025-04-14 13:13 |  |  |
| ☆                                                        | Dr. Thomas Vakili: Invitation to speak at AIM-2025                   | AI Meet <host@artifi...   | 2025-04-14 11:14 |  |  |
| ☆                                                        | [ESCI & Scopus Indexed, Real-time IF 2.3] Invitation to Submi...     | wayne@wisepub.co...       | 2025-04-14 03:28 |  |  |
| ☆                                                        | Thomas Vakili : Publish your work in reputed journal                 | PHE 12 <chief-editor...   | 2025-04-12 11:26 |  |  |
| ☆                                                        | Last Chance to Secure Your Slot at Global Virology 2025              | Global Virology 2025 ...  | 2025-04-11 18:17 |  |  |
| ☆                                                        | Submission Invitation - A Privacy-Preserving Corpus for Occu...      | International Journal ... | 2025-04-11 18:06 |  |  |

## What about LLMs?

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- BERT is more powerful, but Naïve Bayes is much faster (and does quite well)

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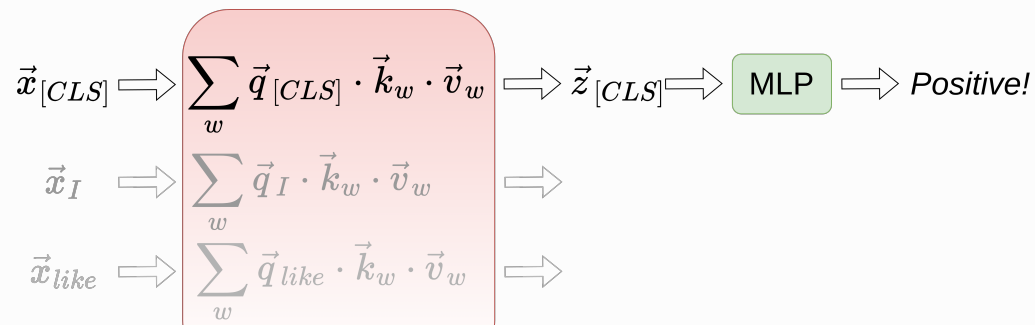
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# LLM classification

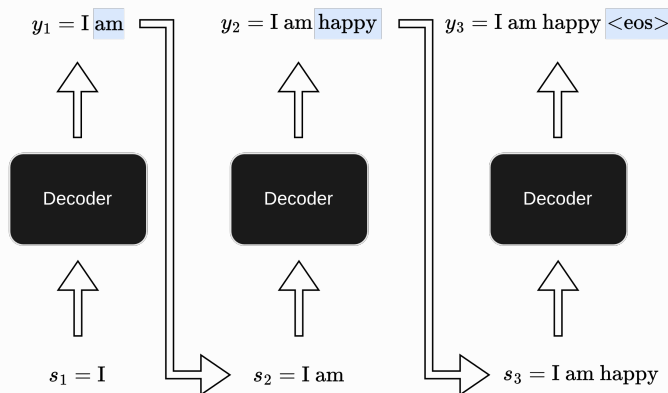
For some tasks, simpler models just won't do. We need more powerful models that can capture context and semantics: LLMs.

- **Encoder** models create contextual embedding vectors that can be classified



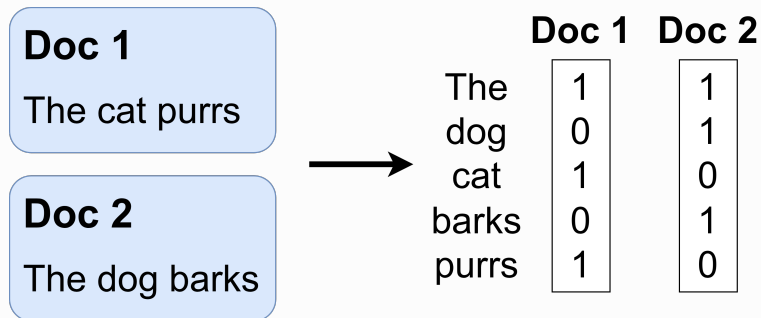
For some tasks, simpler models just won't do. We need more powerful models that can capture context and semantics: LLMs.

- **Encoder** models create contextual embedding vectors that can be classified
- **Decoder** models classify by continuing a token sequence (i.e., autocomplete)



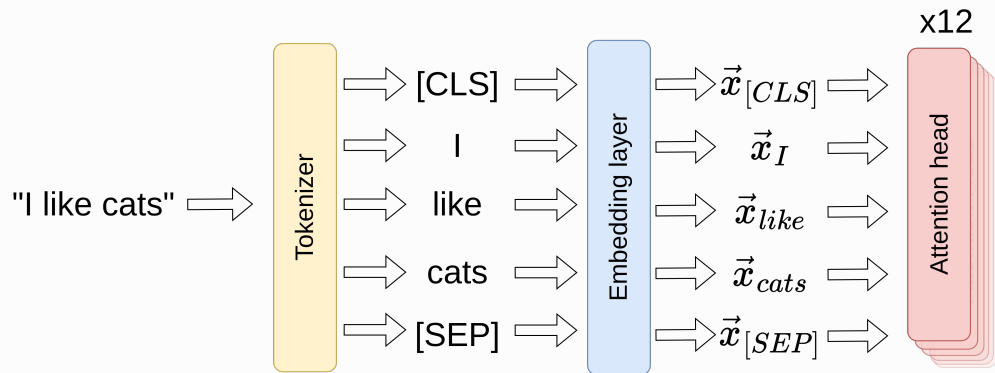
# Encoder models

- Encoders classify *vector representations* of documents
- These vectors are called *embeddings*
- Classic methods like Naïve Bayes also rely on vector representations



## Encoder models

- Encoders classify *vector representations* of documents
- These vectors are called *embeddings*
- Classic methods like Naïve Bayes also rely on vector representations but encoder models produce vector representations using *neural networks*





# Training an LLM – in practice

- LLMs are implemented as deep neural networks
- Today, we'll introduce the how to implement an already-existing classifier



# DEMO!



# Classifying with generative LLMs

- Generative LLMs have become really popular
- Natural language generation (NLG) used to be very niche
- Increasingly, classification is treated as an NLG task
- But next-token prediction *is itself* a classification task



## Next-token prediction

Generative LLMs functionally the same as autocorrect systems. We want to find a token  $t_{i+1} \in V$  such that:

$$t_{i+1} = \arg \max_{v \in V} P(v \mid t_i, t_{i-1}, \dots)$$

This is a classification task, predicting the next token based on the previous tokens.

$$f : \text{sequence of tokens} \rightarrow \text{token}$$



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\* In practice, we *sample* from the distribution rather than taking the **arg max**



## Generative models for classification

Using next-token prediction to perform classification is a bit circular, but it has some benefits:

- The largest LLMs are (currently) generative
- You (usually) don't need to spend computational power for fine-tuning
- Consequently, you also don't need any training data
  - Although, you might want some for few-shot prompting
  - And also for evaluation (!)



## LLMs for classification

# Problem

Perform sentiment analysis. Based on the input text, answer whether the input is NEGATIVE or POSITIVE.  
Examples: "This movie is great" => POSITIVE. "I hate this t-shirt" => NEGATIVE.

Input: "I wish they had added more salt to the stew."

The input "I wish they had added more salt to the stew." conveys a slightly negative sentiment. Therefore, the sentiment analysis result is NEGATIVE.





## Solution?

We don't need to sample from *all*  $v \in V$ . We can restrict the sampling to *only* consider tokens that relate to our task.

Instead of  $v \in V$  we can sample from  $v \in \{\text{negative, positive}\}$ . This idea is called *structured prediction*.



### Example: vLLM

```
from vllm import LLM, SamplingParams
from vllm.sampling_params import StructuredOutputsParams

llm = LLM(model="HuggingFaceTB/SmolLM2-1.7B-Instruct")

structured_outputs_params = StructuredOutputsParams(choice=["Positive", "Negative"])
sampling_params = SamplingParams(structured_outputs=structured_outputs_params)
outputs = llm.generate(
    prompts="Classify this sentiment: vLLM is wonderful!",
    sampling_params=sampling_params,
)
print(outputs[0].outputs[0].text)
```



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